

OCA 2043-88

15 June 1988

OCA FILE

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NOTE TO D/OCA:

FROM:

SUBJECT: Changes to Position Classification System

1. Attached paper, ready for signature by Jim Taylor, will delegate position classification authority and establish generic position classification standards. It describes one of the original proposals contained in the Human Resource Modernization and Compensation Task Force study of last year. You will recall I talked with you about it earlier today.

2. A change of this kind will require that we consult with the oversight committees. The Conference Committee Report of last year says: "...significant non-urgent changes in personnel management or compensation programs should be reviewed carefully before they are implemented... Significant changes would be of special Congressional interest and would require submission to the intelligence committees... By significant the conferees mean departures from current personnel management or compensation structures."

3. OP, Comptroller, and Ex. Dir. (according to) agree. The NAPA panel intends to raise this proposal with HPSCI next week, reportedly to recommend that the Agency proceed. All agree we should await the results of the meeting with HPSCI.

cc: DDA

D/OP

Comptroller- OCA-- ~~ADMINISTRATIVE/INTERNAL USE ONLY~~

MEMORANDUM FOR: *Seo,*

Here's the proposal you engineered about. Jim has asked the DDs for their comments &/or concurrence by Friday, 10 June, before he approves or disapproves the specific recommendations made on pages 2 and 3.

Your comments, obviously, are welcome too.

Ross
Date *6/3/88*

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31 MAY 1988

MEMORANDUM FOR: Executive Director

FROM: Human Resource Modernization and
Compensation Task Force

SUBJECT: Agency Position Classification System

1. ACTION REQUESTED: That you approve the recommendations contained in paragraph 3.

2. BACKGROUND: The system now used by the Agency for position classification is essentially the same as that used by most federal agencies. Each General Schedule position is classified using nine evaluation factors to determine the appropriate grade and title for the position. The process is time consuming and must be repeated each time a position is established, transferred, upgraded, downgraded or retitled. The nine factors used by the Office of Personnel Management (OPM) do not fit our needs very well, and they are followed more in the breach than the observance. More often than not, the process results in serious disagreement between managers who do not fully understand the system, and position classifiers who are responsible for implementation. Even more questionable is that it is the Office of Personnel and not management which distributes promotion needroom or grade points, and it does so basically on a first-come first-serve basis.

To overcome these deficiencies, the Office of Personnel has developed a new process designed to improve, expedite, and bring more direct line management involvement to our position classification process. This process, which we have reviewed and support, includes the following significant changes:

a. Distribution of grade points in line with the allocation of new positions and SIS ceiling for use at Deputy Directors' discretion.

b. Development of generic position classification standards or benchmarks at the full performance level, the senior/expert level, and the manager level in a written style that permits easy use by Agency managers. The Office of Personnel, in conjunction with Agency managers, has tested this process by developing benchmarks for one occupation in each Career Service: Operations Officer in the Directorate of Operations, Analyst in the Directorate of Intelligence, Budget and Finance Officer in the Directorate of Administration, Project Management Engineer in the

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SUBJECT: Agency Position Classification System

Directorate of Science and Technology, and Attorney in the E Career Service. In developing these benchmarks we have used an Agency-unique five factor position classification system rather than the OPM nine factor system. As a result of our work on these five occupations, totalling positions, we are encouraged that the feasibility and utility of this approach has been established.

c. Decentralize position classification authority to operating officials as soon as classification standards are developed for an occupation. Average grade constraints will continue to assure necessary central control. New employees are generally hired below the full performance level in an occupation where they are expected to reach a full performance level after appropriate training and experience. Accordingly, the practice of classifying positions below the full performance grade level is deemed unnecessary and would be discontinued.

The Office of Personnel would continue to work with components on a consultative basis, maintaining the currency of occupational standards, and would retain responsibility for random audits to ensure the system is administered equitably and that the general principle of equal pay for equal work is followed.

d. While the three features above will foster efficiency and economy in our position classification program by giving Agency managers direct accountability for their positions, grade points, and job classification actions, more can and should be done. Therefore, it is recommended that the Office of Personnel continue to develop a payroll budget control system that eventually will give operating officials the tools they need to manage their personal services budget. When one prototype has been completed, we recommend it be provided to operating officials to use experimentally, in tandem with existing controls, to refine the model prior to proceeding with further development and possible Agency-wide implementation. We also recommend that one or two components be selected as test beds and manage their personal services budgets using this tool.

3. RECOMMENDATIONS:

a. Grade points be distributed by the EXCOM to each Deputy Director and controlled at the Directorate-level.

APPROVED ()

DISAPPROVED ()

SUBJECT: Agency Position Classification System

b. Simplify and decentralize the Agency position classification system by adopting generic position classification standards for each occupation only at the full performance, senior/expert, and manager levels. Once these standards or benchmarks have been developed, allow operating officials to create, upgrade, downgrade, move, and retitle positions so long as the component remains within the approved average grade and ceiling.

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c. Employ the Agency-unique five factor position classification standards rather than the OPM nine factor position classification standards.

APPROVED ()

DISAPPROVED ()

d. Under the direction of the Office of Personnel, continue to develop a budget control system to replace average grade constraints, and identify one or two components to use the tool on a test bed basis as their principal mechanism to control positions and payroll.

APPROVED ()

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Human Resource Modernization
and Compensation Task Force

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